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June 21, 2000

WRITER'S DIRECT NUMBER: (202) 371-2560 INTERNET ADDRESS: RESMOND@SKGF.COM

**Box: Missing Parts** 

Commissioner for Patents Washington, D.C. 20231

Re:

U.S. Utility Patent Application

Appl. No. 09/514,955; Filed: February 28, 2000

For: Clinical and Diagnostic Database

Inventors:

Bryant et al.

Our Ref:

1581.0590000/RWE

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Copy of the Notice to File Missing Parts;
- 2. Fee Transmittal (Form PTO/SB/17) (in duplicate);
- 3. Original Power of Attorney from Assignee with Delegation;
- 4. Original Declaration executed by the inventors;
- 5. Certificate Under 37 C.F.R. § 3.73(b) with copy of assignment attached;
- 6. Claim for Priority Under 35 U.S.C. § 119(a)-(d) with priority document attached; and
- 7. One (1) Return Postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.



Commissioner for Patents June 21, 2000 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Robert W. Esmond

Attorney for Applicants Registration No. 32,893

RWE:amm Enclosures

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# UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENT AND TRADEMARKS Washington, D.C. 20231

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

09/514.955

02/28/2000

1581.059000/RWE

Sterne Kessler & Goldstein & Fox PLLC Attorneys At Law 1100 New York Avenue NW Ste 600 Washington, DC 20005-3934

Date Mailed: 04/21/2000

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

#### FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
   Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$72.
  - \$72 for 4 total claims over 20.
- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$892.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

PTO/SB/17 (12/99)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE	<b>TRANSMITTA</b>	١L
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FEE TRANSMITTAL
for FY 2000

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PT 058/09-12.
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT

Complete if Known Application Number 09/514,955 Filing Date February 28, 2000 First Named Inventor Bryant et al. Examiner Name (to be assigned) Group / Art Unit (to be assigned) **Attorney Docket Number** 1581.0590000/RWE

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)					
1.   The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:		ΓΙΟΝΑL Ι	FEES		-		
Deposit Account Number 19-0036							
Number 19-0036		Entity	Small	Entity		Fee	
Deposit Account Name Sterne, Kessler, Goldstein & Fox P.L.L.C.	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Paid	
□ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17		130	205	65	Surcharge - late filing fee or oath	130	
		50	227	25	Surcharge - late provisional filing fee or cover sheet		
2. ☐ Payment Enclosed: ☐ Check ☐ Money Order ☒ Other*  *Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.		130	139	130	Non-English specification		
		2,520	147		For filing a request for reexamination		
		920*	112	920*	Requesting publication of SIR prior to Examiner action		
FEE CALCULATION	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
1 PACIC FILING FEE	115	110	215	55	Extension for reply within first month		
1. BASIC FILING FEE	116	380	216	190	Extension for reply within second month		
Large Entity Small Entity	117	870	217	435	Extension for reply within third month		
Fee Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	118	1,360	218	680	Extension for reply within fourth month		
101 690 201 345 Utility filing fee 690	128	1,850	228	925	Extension for reply within fifth month		
	119	300	219	150	Notice of Appeal		
106 310 206 155 Design filing fee	120	300	220	150	Filing a brief in support of an appeal		
107 480 207 240 Plant filing fee	121	260	221	130	Request for oral hearing		
108 690 208 334 Reissue filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding		
114 150 214 75 Provisional filing fee	140	110	240	55	Petition to revive - unavoidable		
SUBTOTAL (1) (\$) 690.00		1,210	241	605	Petition to revive - unintentional		
30B101AE(1) (#) 030.00	142	1,210	242	605	Utility issue fee (or reissue)		
	143	430	243	215	Design issue fee		
2. EXTRA CLAIM FEES Fee from	144	580	244	290	Plant issue fee		
Extra below Fee Paid	122	130	122	130	Petitions to the Commissioner		
Total Claims 24 - 20** = 4 X = 72					<b>-</b>		
Indep. Claims 1 - 3** = 0 X = =	123	50	123	50	Petitions related to provisional applications		
Multiple Dependent =		240	126	240	Submission of Information Disclosure Stmt		
** or number previously paid, if greater; For Reissues, see below  Large Entity Small Entity Fee Fee Fee Fee Fee Description	581	40	581	40	Recording each patent assignment per property (times number of properties)		
Code (\$) Code (\$)  103	146	690	246	345	Filing a submission after final rejection (37 CFR 1.129(a))		
102 78 202 39 Independent claims in excess of 3	140	600	040	245	For each additional invention to be examined	$\vdash \vdash \vdash$	
104 260 204 130 Multiple dependent claim	149	690	249	345	(37 CFR 1.129(b))		
108 78 209 39 **Reissue independent claims over original patent	Other fee (specify) :						
- ·		Other fee (specify):					
110 18 210 9 **Reissue claims in excess of 20 and over original patent		*Reduced by Basic Filing Fee Paid					
SUBTOTAL (2) (\$) - <u>0-</u>					SUBTOTAL (3) (\$) <u>130.00</u>		
CHEMITTED BY					Complete (if applicable)		
SUBMITTED BY					- Complete (ii applicable)		

Typed or Printed Name 32,893 Reg. Number Robert W. Esmond Signature June 21, 2000 Deposit Acct. User ID Date

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.